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ANTHONY, LINDER & CACOMANOLIS, PLLC
A CORPORATE & SECURITIES LAW FIRM

+1.800.341.2684
+1.561.514.0936
www.ALCLAW.com



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**Blog: Tally Of NYSE American Recent
Rule Changes And Proposals**

The regulatory environment for small-cap and micro-cap issuers listed on national securities exchanges has been undergoing significant adjustments in the past couple of years. Like Nasdaq, recent changes to the NYSE American Company Guide (the “Company Guide”), as well as current proposals still on the table, have signaled a decisive shift toward upticking the size and quality of newly listed companies and allowing for the quick removal of thinly capitalized and low-priced issuers. Last week, I published a recap of the recent Nasdaq rule changes and proposals. This blog will summarize the recent NYSE American changes and proposals.

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SEC Rule Changes & Proposals: ALC Lawcast: Finance In Focus



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Update: Capital Markets

The new SEC proposed rule changes, including semi-annual reporting, extended S-3 availability and a complete reworking of filer size categories (and accompanying deferral of Sarbanes Oxley compliance) truly represent the most significant SEC restructuring of going public transactions, follow-on offerings, and ongoing periodic reporting compliance, in over two decades. Let US know if you ever have any questions and we can jump on a quick conference call to discuss the

new groundbreaking pending rules. To schedule a meeting with an attorney, please Click [HERE](#).



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Notable Transactions


**BRAZIL
POTASH**
Brazil Potash Corp.

\$63,350,000
Public Offering (CMPO)

Issuer's Counsel


EVOLUTION
Metals & Technologies, Corp.

\$4.8 Billion

SPAC Business Combination and
Listing on Nasdaq Global Market

Counsel to SPAC


**BRAZIL
POTASH**
Brazil Potash Corp.

\$125,000,000

At-The-Market (ATM) offering

Issuer's Counsel


HYCROFT
Hycroft Mining Holding
Corp.

\$100,000,000

At-The-Market (ATM) offering

Issuer's Counsel


EVOLUTION
Metals & Technologies Corp.

\$100,000,000

PIPE Transaction

Issuer's Counsel


Intrusion

Intrusion, Inc.

\$50,000,000

At-The-Market (ATM) offering

Issuer's Counsel


HeartCore

HeartCore Enterprises,
Inc.

\$1,988,229

At-The-Market (ATM) offering

Issuer's Counsel


NextNRG

NASDAQ: NXXT
NextNRG, Inc.

\$75,000,000

At-The-Market (ATM) offering

Issuer's Counsel

ALC in the News

- **Anthony, Linder & Cacomanolis, PLLC Announces Platinum Sponsorship of the Japan Go IPO Summit in Tokyo to Anchor Cross-Border U.S. Capital Markets Access For Japanese Issuers**
- **Anthony, Linder & Cacomanolis Launches "ALC Lawcast: Finance in Focus" to Support Global Access to U.S. Capital Markets**
- **Anthony, Linder & Cacomanolis Partner Craig D. Linder Named a #1 Ranking Attorney in South Florida in Finance and Restructuring by Legal500**
- **Anthony, Linder & Cacomanolis Releases Strategic Analysis on PayPay IPO; Transaction Solidifies "Japan Corridor" to U.S. Capital Markets**
- **Anthony, Linder & Cacomanolis, PLLC Announces Launch of Dedicated Japanese Website to Facilitate U.S. Listings**
- **Anthony, Linder & Cacomanolis, PLLC Expands Reach With Bilingual Blog Launch**

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Our lawyers are highly knowledgeable in understanding, addressing and navigating niche challenges in these environments and bring over 100 years of combined experience in finding customized, workable solutions.

Whether our clients require assistance with getting their company on a public exchange, need help navigating a merger or acquisition, or want to ensure their activities comply with SEC, NASDAQ & NYSE rules, we make their experience with us worth their time and money. We will always offer quality counsel and representation – it's what our clients get with the ANTHONY, LINDER & CACOMANOLIS, PLLC advantage.

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Anthony, Linder & Cacomanolis, PLLC | 1700 Palm Beach Lakes Blvd, #820 | West Palm Beach, FL
33401 US

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