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**Blog: SEC Approves NASDAQ Rule
For The Listing And Trading Of**

Digital Asset Securities

On March 19, 2026, the SEC issued an order approving a groundbreaking Nasdaq rule change that establishes a dedicated regulatory framework for the listing and trading of "Digital Asset Securities." This approval marks a definitive shift in the modernization of the U.S. capital markets, providing a transparent pathway for issuers to utilize distributed ledger technology (DLT) for the issuance and secondary trading of securities on a national securities exchange. This final approval follows the Exchange's initial proposal from December 2025, which I analyzed in detail HERE.

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Simplification Of Filer Status Categories - Part 2: ALC Lawcast: Finance In Focus



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Update: Capital Markets

The new SEC proposed rule changes, including semi-annual reporting, extended S-3 availability and a complete reworking of filer size

categories (and accompanying deferral of Sarbanes Oxley compliance) truly represent the most significant SEC restructuring of going public transactions, follow-on offerings, and ongoing periodic reporting compliance, in over two decades. Let US know if you ever have any questions and we can jump on a quick conference call to discuss the new groundbreaking pending rules. To schedule a meeting with an attorney, please Click [HERE](#).



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Notable Transactions

 BRAZIL POTASH Brazil Potash Corp. \$63,350,000 Public Offering (CMPO) Issuer's Counsel	 EVOLUTION METALS • TECHNOLOGIES Evolution Metals & Technologies, Corp. \$4.8 Billion SPAC Business Combination and Listing on Nasdaq Global Market Counsel to SPAC	 ADVASA Advasa Holdings, Inc. (a Japanese-Centric Fintech Company) \$3 Billion Direct Listing on Nasdaq Global Market Issuer's Counsel	 BRAZIL POTASH Brazil Potash Corp. \$125,000,000 At-The-Market (ATM) offering Issuer's Counsel
 HYCROFT Hycroft Mining Holding Corp. \$100,000,000 At-The-Market (ATM) offering Issuer's Counsel	 EVOLUTION METALS • TECHNOLOGIES Evolution Metals & Technologies, Corp. \$100,000,000 PIPE Transaction Issuer's Counsel	 Intrusion Intrusion, Inc. \$50,000,000 At-The-Market (ATM) offering Issuer's Counsel	 HeartCore HeartCore Enterprises, Inc. \$1,988,229 At-The-Market (ATM) offering Issuer's Counsel

ALC in the News

- **Anthony, Linder & Cacomanolis, PLLC Breaks New Ground for Japanese Companies with Advasa Holdings, Inc.'s Nasdaq Direct Listing**
- **Advasa Holdings, Inc. completed a direct listing on Nasdaq under the ticker symbol "ADBT"**
- **Advasa Holdings, Inc. Announces Effectiveness of Registration Statement and Approval to List Common Stock on the Nasdaq Global Market**
- **Anthony, Linder & Cacomanolis, PLLC Announces Platinum Sponsorship of the Japan Go IPO Summit in Tokyo to Anchor Cross-Border U.S. Capital Markets Access For Japanese Issuers**
- **Anthony, Linder & Cacomanolis Launches "ALC Lawcast: Finance in Focus" to Support Global Access to U.S. Capital Markets**
- **Anthony, Linder & Cacomanolis Partner Craig D. Linder Named a #1 Ranking Attorney in South Florida in Finance and Restructuring by Legal500**
- **Anthony, Linder & Cacomanolis Releases Strategic Analysis on PayPay IPO; Transaction Solidifies "Japan Corridor" to U.S. Capital Markets**
- **Anthony, Linder & Cacomanolis, PLLC Announces Launch of Dedicated Japanese Website to Facilitate U.S. Listings**
- **Anthony, Linder & Cacomanolis, PLLC Expands Reach With Bilingual Blog Launch**

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ANTHONY, LINDER & CACOMANOLIS, PLLC, offers comprehensive legal services to help you navigate the bureaucracy and complex regulatory landscape of corporate, securities and business transaction-related matters.

Our lawyers are highly knowledgeable in understanding, addressing and navigating niche challenges in these environments and bring over 100 years of combined experience in finding customized, workable solutions.

Whether our clients require assistance with getting their company on a public exchange, need help navigating a merger or acquisition, or want to ensure their activities comply with SEC, NASDAQ & NYSE rules, we make their experience with us worth their time and money. We will always offer quality counsel and representation – it's what our clients get with the ANTHONY, LINDER & CACOMANOLIS, PLLC advantage.

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