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NASDAQ Amends Listing Rule 5505 To Mandate Strict $4.00 Floor Initial Listing Price

On October 7, 2026, the SEC published notice of immediate effectiveness of Nasdaq's proposed modified Listing Rule 5505. The amendment eliminates the alternative price framework that allowed companies to list on the Capital Market tier at share values between $2.00 and $4.00. As a result, all corporate applicants seeking initial listing must now satisfy a uniform, non-negotiable $4.00 minimum bid price.

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What are Death Spiral Transactions?

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Notable Transactions

BRAZIL POTASH
Brazil Potash Corp.
$63,350,000
Public Offering (CMPO)
Issuer's Counsel

EVOLUTION METALS + TECHNOLOGIES
Evolution Metals & Technologies, Corp.
$4.8 Billion
SPAC Business Combination and Listing on Nasdaq Global Market
Counsel to SPAC

ADVASA
Advasa Holdings, Inc. (a Japanese-Centric Fintech Company)
$3 Billion
Direct Listing on Nasdaq Global Market
Issuer's Counsel

BRAZIL POTASH
Brazil Potash Corp.
$125,000,000
At-The-Market (ATM) offering
Issuer's Counsel

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ALC in the News

- **Anthony, Linder & Cacomanolis, PLLC Expands Japan-U.S. Capital Markets Corridor With Addition of Tomoharu Ogawa as**

of Counsel in Tokyo
- **Anthony, Linder & Cacomanolis, PLLC Expands Cross-Border Capital Markets Practice with New Office in Tokyo's Financial District**
- **Anthony, Linder & Cacomanolis, PLLC Breaks New Ground for Japanese Companies with Advasa Holdings, Inc.'s Nasdaq Direct Listing**
- **Advasa Holdings, Inc. completed a direct listing on Nasdaq under the ticker symbol "ADBT"**

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