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SEC Publishes CFI On “Participant” Status For Activist Fund Investors In Proxy Solicitations And Disclosures On Schedule 13D

On July 9, 2026, the SEC’s Division of Corporation Finance released three crucial new Corporation Finance Interpretation (CFI), clarifying participant status in proxy solicitations. This positive development represents a major victory for public companies, shielding them from expensive, disruptive board battles funded by

anonymous activist syndicates. The updated [CFI](#) is perfectly aligned with the agency's "minimum effective dose" strategy championed by Chairman Paul Atkins to revitalize our [capital markets](#) and remove unnecessary regulatory friction (see my previous blog [HERE](#)).

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What Is One Piece Of Advice That You Would Give Your Japanese Clients Before They Go Public?



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Notable Transactions

 BRAZIL POTASH Brazil Potash Corp. \$63,350,000 Public Offering (CMPO) Issuer's Counsel	 EVOLUTION Metals & Technologies, Corp. \$4.8 Billion SPAC Business Combination and Listing on Nasdaq Global Market Counsel to SPAC	ADVASA Advasa Holdings, Inc. (a Japanese-Centric Fintech Company) \$3 Billion Direct Listing on Nasdaq Global Market Issuer's Counsel	 BRAZIL POTASH Brazil Potash Corp. \$125,000,000 At The Market (ATM) offering Issuer's Counsel
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ALC in the News

- **Anthony, Linder & Cacomanolis, PLLC Expands Japan-U.S. Capital Markets Corridor With Addition of Tomoharu Ogawa as of Counsel in Tokyo**
- **Anthony, Linder & Cacomanolis, PLLC Expands Cross-Border Capital Markets Practice with New Office in Tokyo's Financial District**

- Anthony, Linder & Cacomanolis, PLLC Breaks New Ground for Japanese Companies with Advasa Holdings, Inc.'s Nasdaq Direct Listing
- Advasa Holdings, Inc. completed a direct listing on Nasdaq under the ticker symbol "ABDT"

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