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Market Wrap-Up – Third Quarter 2026

This edition of my market recap covers the third quarter of 2026.

Three small cap ($30,000,000 and under) IPOs priced in the third quarter of 2026 (1 in July, 2 in August and 0 in September) – which is half of the dismal second quarter of 2026. Direct listings continue a somewhat regular stream with 4 opening for trading in Q3 2026. Also interesting is the resurrection of SPACs constituting more than half of all new IPOs. Below is a chart of relevant deal information for the Third quarter IPOs.

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Notable Transactions

BRAZIL POTASH
Brazil Potash Corp.
$63,350,000
Public Offering (CMPO)
Issuer's Counsel

EVOLUTION METALS + TECHNOLOGIES
Evolution Metals & Technologies, Corp.
$4.8 Billion
SPAC Business Combination and Listing on Nasdaq Global Market
Counsel to SPAC

ADVASA
Advasa Holdings, Inc. (a Japanese-Centric Fintech Company)
$3 Billion
Direct Listing on Nasdaq Global Market
Issuer's Counsel

BRAZIL POTASH
Brazil Potash Corp.
$125,000,000
At-The-Market (ATM) offering
Issuer's Counsel

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- **Anthony, Linder & Cacomanolis Recognized in Chambers & Partners Spotlight Florida 2027 for Securities Law Excellence**
- **Anthony, Linder & Cacomanolis, PLLC Joins the KoreInside Ecosystem, Expanding Securities Law and Capital Markets Expertise**

- **Anthony, Linder & Cacomanolis, PLLC Expands Japan-U.S. Capital Markets Corridor With Addition of Tomoharu Ogawa as of Counsel in Tokyo**
- **Anthony, Linder & Cacomanolis, PLLC Expands Cross-Border Capital Markets Practice with New Office in Tokyo's Financial District**
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